

## CONFLICT INQUIRY FORM

### STEP 1. DESCRIPTION OF PARTIES & TRANSACTIONS

<b>Name of individual or organization entering into transaction with YKAN :</b>	
<b>Legal identity of individual or organization* entering into transaction with YKAN (select one) :</b>	<input type="checkbox"/> Individual <input type="checkbox"/> For-Profit Organization <input type="checkbox"/> Non-Profit Organization
<i>*"Organization" includes a for profit corporation, partnership, trust, estate, joint venture, limited liability corporation, professional corporation, an unincorporated entity, a foundation, public board, commission, 501(c)(3) or other charitable organization.</i>	
<b>Type of Transactions (select one) :</b>	<input type="checkbox"/> Contract for Services <input checked="" type="checkbox"/> Purchase Order <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Real Estate Transaction <input type="checkbox"/> Other
If you selected "Other" or "Real Estate", include description here (for real estate, describe property, size, and type of deal (sale, gift, lease, etc.)) <b>Note:</b> The purchase or sale of any interest in real property or water rights to or from any Related Party is a prohibited transaction and requires review by the Conflicts Committee and the TNC Global Board of Directors. A donation of a conservation easement by a Covered Person or Controlled Entity also requires review by the Conflicts Committee.	
<b>Total Amount of Proposed Contract: IDR</b>	

### STEP 2: DEFINITIONS & QUESTIONS (Complete \*only\* the section relevant to your organization)

- (1) **The Key Employees and Board of Directors:** Please refer to the attached list of Key Employees and members of Board of Directors (includes individuals who have left relevant positions within the past 5 (five) years).
- (2) **Covered Person:** A Global Board Member, Key Employee, Trustee/Advisor, Staff Member, Substantial Contributor, Close Relative/Family Member or persons who have the ability to influence the decisions of TNC because of their relationship with TNC or YKAN or their access to material information about TNC's and YKAN's work.
- (3) **Controlled Entity:**
  - For-profit entity of any kind where a Covered Person, individually or collectively with other Covered Persons:
    - a. owns more than 35% of the stock or value of an organization (directly or indirectly); or
    - b. has a controlling influence over the organization's management or policies (ex. key management or board member).
  - Nonprofit entity where a Covered Person, individually or collectively with other Covered Persons, is a board member, officer, director or key employee of the entity and has the ability to influence management of the entity.
- (4) **Related Party:** Any individual who is, or was at any time during the 12-month period ending on the date of the purchase or sale, a member of the Board of Directors, a Trustee (or comparable volunteer advisor, such as a Regional Council member), or an employee of TNC or YKAN; any individual who is a close relative of such an individual; and/or an entity in which the individual (or their close relatives) directly or indirectly own(s) more than 5% of the equity interest therein.
- (5) **TNC or YKAN Trustee:** Individuals serving as a Trustee or Advisor to TNC or YKAN.
- (6) **Substantial Contributors:** Individuals or organizations who have made total aggregate contribution TNC or YKAN of (i)  $\geq$  US \$5 million during the current fiscal year or (ii)  $\geq$  US \$25 million within the last 5 (five) fiscal years. Fiscal years run from July 1st through June 30th.

**(7) Family Members and Close Relatives:** Family members of any individual listed above, such as spouse, domestic partner, parent, sibling, child, dependent, other progeny and ancestors.

<b>SECTION 1. INDIVIDUALS (explain any "yes" answer in Step 3) :</b>	<b>Yes</b>	<b>No</b>
a. Are you now, or have you been in the last 5 (five) fiscal years, (i) a TNC or YKAN "Key Employee" or (ii) a member of TNC Board of Directors or (iii) a member of YKAN Board of Supervisors or Boar of Patrons?		
b. Are you now, or have you been in the last 12 (twelve) months, (i) a TNC or YKAN Employee, (ii) a Chapter Trustee, or (iii) a member of a Country Program Advisor Council or similar advisory group in TNC or YKAN?		
c. Are you a Substantial Contributor to TNC or YKAN?		
d. To your knowledge, are you a family member or close relative of any individual identified in paragraphs a, b, or c above?		
<b>SECTION 2. FOR PROFIT ORGANIZATIONS (explain any "yes" answer in Step 3) :</b>	<b>Yes</b>	<b>No</b>
a. Is your organization a Substantial Contributor to TNC or YKAN?		
b. Now, or at the time of the proposed transaction, to the best of your knowledge, do any of the following (individually or collectively with other such persons) (i) own more than <u>35% of the stock or value</u> of your organization (directly or indirectly) an/or (ii) have a controllong influence over the organization's management or policies (e.g., key management or board member): <ul style="list-style-type: none"> <li>- TNC or YKAN employee (or former employee who left within the last 12 (twelve) months);</li> <li>- TNC or YKAN Key Employee:</li> <li>- TNC Board Member, YKAN Board of Supervisors or Board of Patrons;</li> <li>- Substantial Contributor to TNC or YKAN;</li> <li>- TNC Chapter Trustee or Advisory Council Member for TNC, YKAN or TNC's related entities (or former trustees/members who left within the last 12 (twelve) months; and/or</li> <li>- Family members or close relatives of the above individuals.</li> </ul>		
c. Now, or at the time of the proposed transaction, have or will any TNC or YKAN Key Employees or members of TNC Board of Directors, YKAN Board of Supervisors or Board of Patrons service in the following positions of your organization? <ul style="list-style-type: none"> <li>- Officer, Director, Trustee, Key Employee, or Partner;</li> <li>- Member (if your organization is a limited liability corporation); and/or</li> <li>- Shareholder (if your organization is a professional corporation)</li> </ul>		
<b>SECTION 3. FOR NON-PROFIT ORGANIZATIONS (explain any "yes" answer in Step 3) :</b>	<b>Yes</b>	<b>No</b>
a. Now, or at the time of the proposed transaction, do any of the following (individually or collectively with other such persons) have the ability to influence management of the entity: <ul style="list-style-type: none"> <li>- TNC or YKAN employee (or former employee who left within the last 12 (twelve) months);</li> <li>- TNC or YKA Key Employee:</li> <li>- TNC Board Member, YKAN Board of Supervisors or Board of Patrons;</li> <li>- Substantial Contributor to TNC or YKAN;</li> <li>- TNC Chapter Trustee or Advisory Council Member for TNC, YKAN or TNC's related entities (or former trustees/members who left within the last 12 (twelve) months; and/or</li> <li>- Family members or close relatives of the above individuals.</li> </ul>		

**STEP 3. COMMENTS (Explain any "yes" answer checked above. Attach additional pages as necessary)**

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**STEP 4. NOTICE OF TNC CODE OF CONDUCT & SIGNATURES**

YKAN expects itself and everyone with whom it does business to conduct themselves in ways that are consistent with YKAN's Code of Conduct found at [www.nature.org/codeofconduct](http://www.nature.org/codeofconduct). Anyone (whether a part of YKAN or not) may contact the TNC Helpline (anonymously, if desired) with questions, concerns, or suspected violations at [www.nature.org/tnc helpline](http://www.nature.org/tnc helpline).

**The undersigned certifies the information in the inquiry form is true and correct to the best of their knowledge.**

<p><b>Signature :</b></p> <p><b>Printed Name :</b></p> <p><b>Title (if for an organization) :</b></p> <p><b>Address :</b></p> <p><b>Date :</b></p>	
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**TNC COVERED PERSONS**

**The following are individuals who are currently of have been, during the preceding 5 (five) fiscal years, a TNC "Key Employee" or a member of the Board of Directors of TNC or one of its U.S. Related Entities.**

**List Current as of May 13, 2025**

<u>Current Key Employees</u>	<u>Former Key Employees</u>	<u>Current Board of Directors</u>	<u>Prior Board Members</u>
Nathalie Augustin David Banks James Bond Neel Broker Matt Brown Jan Glendening Tom Neises Asha Shah	James Asp Hans Birle William Ginn Wisla Heneghan Brian McPeek Bolu Olusanya Hugh Possingham Michael Sweeney Leonard Williams	James Attwood, Jr. Amy Batchelor John Bernstein Stuart Brown Michelle DePass William Frist Harry Hagey Margaret Hamburg Fred Hu Shirley Ann Jackson Sally Jewell Nancy Knowlton Edwin Macharia Jennifer Morris Roshni Nadar Malhotra Douglas Petno Sergio Rial Fawn Sharp Anna Skoglund Kent J. Thiry Kevin Weil	Shona L. Brown Laurence Fink Joseph H. Gleberman Andrew Liveris Jack Ma Claudia Madrazo Craig McCaw Ana M. Parma Vincent Ryan Rajiv Shah Brenda Shapiro Thomas J. Tiemey Moses Tsang Margaret C Whitman Ying Wu

**U.S. Related Entity Covered Persons**

**BIN = Blue Investments in Nature; CF&R – Conservation Farms & Ranches**

<u>Current Key Employees</u>	<u>Former Key Employees</u>	<u>Current Board of Directors</u>	<u>Prior Board Members</u>
Leah Carriere (BIN) Jerred Dixon (CF&R) Teela Pejsa (CF&R) Angela Ortegon (CF&R)	Stephen Valdes-Robles (BIN) Felicity Fyfe (CF&R) Charlotte Kaiser (BIN)	Svetoslav Gatchev (BIN) Melissa Garvey (BIN) Jeffery Schutes (BIN) Mark Kramer (CF&R) Rodd Kelsey (CF&R) Susan North (CF&R) Ankith Patel (CF&R) Sandi Matsumoto (CF&R)	Diane Miller (BIN) Michael McFadden (CF&R) Scott Morrison (CF&R) Jason Pelletier (CF&R) AnnMarie Nemanich(CF&R)

**YKAN KEY EMPLOYEES & MEMBERS OF BOARD OF SUPERVIORS AND BOARD OF PATRONS**

<b>Key Employees (members of Related Entity leadership team):</b>	<b>Board of Supervisors and Board of Patrons:</b>	
Herlina Hartanto Frilla Elnando Priscilla Christin Ruslandi Muhammad Ilman Ratih Loekito Wahjudi Wardojo	<u>Pengawas:</u> Agustina Supriyani Kardono Dong Ke Arif P. Rachmat Asha Bharat Shah Ir. Wiratno, MSc	<u>Pembina:</u> Shanti L. Poesosoetjpto William McGoldrick Gondan P. Renosari